American Heart Association/American College of Cardiology Foundation/Heart Rhythm Society Scientific Statement on Noninvasive Risk Stratification Techniques for Identifying Patients at Risk for Sudden Cardiac Death

A Scientific Statement From the American Heart Association Council on Clinical Cardiology Committee on Electrocardiography and Arrhythmias and Council on Epidemiology and Prevention

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The International Classification of Diseases, Tenth Revision, defines sudden cardiac death (SCD) as death due to any cardiac disease that occurs out of hospital, in an emergency department, or in an individual reported dead on arrival at a hospital. In addition, death must have occurred within 1 hour after the onset of symptoms. SCD may be due to ventricular tachycardia (VT)/ventricular fibrillation (VF), asystole, or non-arrhythmic causes.1 For the purpose of this scientific statement on noninvasive risk stratification for primary prevention of SCD, SCD will specifically refer to death due to reversible ventricular tachyarrhythmias, because this is the focus of the risk stratification techniques to be discussed. Among patients with SCD, an overwhelming majority have some form of structural heart disease; this statement will be limited to risk stratification techniques for ischemic, dilated, and hypertrophic cardiomyopathies. Although other types of structural heart disease and inherited ion channel abnormalities are also associated with a risk for SCD, the risk stratification strategies and data in these entities are diverse and are beyond the scope of this document.

The annual incidence of sudden arrhythmic deaths has been estimated between 184,000 and 462,000. The American Heart Association has promoted the concept of the “chain of survival,” which includes early access to medical care, early cardiopulmonary resuscitation, early defibrillation, and early advanced care. Many of these interventions have improved survival. Despite all of these advances, however, overall mortality from a cardiac arrest remains high, which underscores the need for risk stratification techniques to identify patients at high risk for these events and effective

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interventions that can prevent or abort these events. Although risk stratification techniques have been studied for decades, their current relevance is enhanced by the availability of medical therapies and the implantable cardioverter defibrillator (ICD), which have been shown to reduce both total and SCD mortality in selected high-risk patients.

In general, risk stratification techniques have been applied to dichotomize patients into low- and high-risk groups. In actuality, risk is a continuum. Furthermore, it has been noted that the majority of episodes of SCD actually occur in those with low- to intermediate-risk factors and those without known risk factors. The highest-risk subgroups, on which much attention is focused because of the magnitude of the risk of death, actually constitute only a small proportion of the total number of deaths annually. Thus, a comprehensive approach to risk stratification must account for these epidemiological realities. Specifically, risk stratification involves a process of identifying subjects at relatively high risk for later major events. Although it is a widely accepted approach within the ethos of modern medicine, it must be recognized that there are critical weaknesses to this process. For example, the United Kingdom Heart Disease Prevention Project addressed the question of prevention of myocardial infarction (MI). Among all men, the absolute risk of later MI over a 5-year period is low, under 5%. If one focuses on men with risk factors, the absolute risk increases to 7%, which corresponds to a relative risk of \( \approx 1.75 \). However, this only accounts for 32% of all MIs that occur. If one further focuses on a higher-risk group with risk factors plus early disease, the absolute risk is much higher, 22%, which corresponds to a relative risk of 5.5. Despite these higher absolute and relative risks, however, this group only accounts for 12% of all MIs. As Rose has argued, defining risk narrowly may identify selected individuals for whom interventions are more likely to be beneficial but that do little for society as a whole.

Recognizing these limitations, it is worth delineating the desirable features of a risk stratification tool for SCD. The ideal risk stratification tool would identify most of the patients who will experience VT or VF and exclude those who will not. In addition, intervention (medical, surgical, or ICD) based on an abnormal result should improve survival to a greater extent than does intervention in similar patients with a normal result. The potential for finding such a tool may be hampered by the fact that many tools provide prognostic information on SCD and non-SCD. The utility of a tool to provide risk stratification for SCD will depend on the extent of prognostic information regarding non-SCD. In addition, SCD, defined by the usual criteria, is much higher, 22%, which corresponds to a relative risk of 5.5. Despite these higher absolute and relative risks, however, this group only accounts for 12% of all MIs. As Rose has argued, defining risk narrowly may identify selected individuals for whom interventions are more likely to be beneficial but that do little for society as a whole.

The applicability of current noninvasive risk stratification techniques will be discussed below, organized according to the type of testing required to obtain the information, for example, short-term ECG recordings, long-term ECG recordings, and exercise. A summary is provided in the Table.

**Relation of test approaches to the pathophysiology of SCD**

Noninvasive approaches have been developed to detect the presence of arrhythmogenic factors that initiate and maintain VT or VF in patients with ischemic and nonischemic heart disease. The conditions that lead to VT/VF may occur transiently or may develop during the course of healing from injury to ventricular myocardium and then persist. Factors known to trigger or modulate VT/VF include changes in autonomic nervous system activity, metabolic disturbances, myocardial ischemia, electrolyte abnormalities, acute volume and/or pressure overload of the ventricles, ion channel abnormalities, and proarrhythmic actions of cardiac and noncardiac drugs. Death of myocardial cells due to ischemia, toxins, infectious agents, or chronic pressure/volume overload leads to scar formation, alterations in chamber geometry, and electrical and anatomic remodeling. The electrophysiological alterations induced by these conditions initiate and maintain VT/VF, most likely via a reentrant mechanism, although abnormal automaticity, triggered activity, or combinations of these mechanisms may be operative. The spectrum of noninvasive methods reviewed in the sections that follow were developed to detect the presence of factors known to serve as substrate or triggers of VT/VF or abnormalities in ventricular conduction and repolarization that are critical to reentry.

The specific techniques discussed are those that detect (1) slowed conduction (QRS duration, signal-averaged electrocardiogram [SAECG]), (2) heterogeneities in ventricular repolarization (QT interval, QT dispersion, T-wave alternans), (3) imbalance in autonomic tone (heart rate variability [HRV], heart rate turbulence, heart rate recovery after exercise, baroreceptor sensitivity), (4) extent of myocardial damage and scar formation (left ventricular ejection fraction [LVEF], 6-minute walk), and (5) ventricular ectopy (long-term ambulatory monitoring). Although many studies have explored the value of these techniques, the precise relationship between the presence of these abnormalities, some of which are persistently present, and the unpredictable occurrence of VT/VF has not been elucidated. Even abnormalities in combinations of these techniques may fail to detect the precise pathophysiological abnormalities that precipitate VT or VF. The limitations of these techniques, as described...
in this document, may therefore be due in part to our inadequate understanding of the milieu responsible for initiating clinical episodes of VT or VF. Thus, the science of risk stratification will be enhanced by further research to elucidate the structural, electrophysiological, autonomic, genetic, and proteomic milieu that precipitates SCD.

### Left ventricular ejection fraction

LVEF is the most widely used measure of left ventricular systolic function. As evaluated by radionuclide or radiographic contrast ventriculography or by 2-dimensional echocardiography, LVEF offers several distinct advantages over many other risk stratification measures in terms of accessibility by a large number of patients and the ease of measurement and interpretation by physicians. The accuracy of LVEF assessment is approximately ±2% to 6% for radionuclide angiography⁶ and in excess of ±10% for both visual estimation and calculation by Simpson’s rule with echocardiography.⁷ Reduced LVEF has been the most consistently reported risk factor for overall mortality and SCD in the heart failure population.

The relationship between left ventricular systolic dysfunction and death due to progressive heart failure and ventricular arrhythmias in patients who have had an MI is well established. Studies dating back to the advent of cardiac imaging were the first to observe the association between reduced LVEF and outcome, with the majority of studies concluding that LVEF ≤40% serves as the threshold for identifying high-risk individuals.⁸–¹⁰ The prognostic value of impaired left ventricular function for overall mortality and SCD has persisted despite progress in treatments for acute MI, including thrombolytic and β-blocker therapies.¹¹–¹³ An analysis of 20 studies that enrolled 7294 postinfarction patients found that an LVEF ≤30% to 40% was associated with a relative risk of 4.3 for major arrhythmic events, with a sensitivity and specificity of 59.1% and 77.8%, respectively.¹⁴ Despite these observations, however, the Defibrillator in Acute Myocardial Infarction Trial (DINAMIT) noted that ICDs did not decrease overall mortality when implanted in selected patients (those with low HRV or elevated heart rate) with low LVEF within 40 days of an MI,¹⁵ a time period of particularly increased risk for SCD.¹⁶ Similarly, the Coronary Artery Bypass Graft (CABG)-Patch trial¹⁷ also noted no benefit of ICD therapy in a select group of patients (those with a positive SAECG) with low LVEF undergoing coronary artery bypass surgery. These data suggest that a low LVEF may be as much a marker for death due to progressive pump failure as it is for death due to SCD. Alternatively, the dynamic nature of the healing infarction may provide a substrate for which an ICD intervention is less likely to provide benefit.

Remote prior MI may result in both reduced LVEF and abnormalities of conduction and refractoriness that serve as the substrate for ventricular tachyarrhythmias. The association between left ventricular dysfunction due to coronary artery disease and SCD has been examined extensively in cohort studies and randomized, controlled trials that evaluated medical therapies and ICDs. Lower LVEF has consistently been demonstrated to be the strongest independent predictor of SCD. Further supportive

<table>
<thead>
<tr>
<th>Technique</th>
<th>Conclusion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Left ventricular ejection fraction (LVEF)</td>
<td>Low LVEF is a well-demonstrated risk factor for SCD. Although low LVEF has been effectively used to select high-risk patients for application of therapy to prevent sudden arrhythmic death, LVEF has limited sensitivity; the majority of SCDs occur in patients with more preserved LVEF.</td>
</tr>
<tr>
<td>Electrocardiogram (ECG) QRS duration</td>
<td>Most retrospective analyses show increased QRS duration is likely a risk factor for SCD. Clinical utility to guide selection of therapy has not been tested.</td>
</tr>
<tr>
<td>T-wave alternans</td>
<td>A moderate amount of prospective data suggests that abnormal T-wave alternans is a risk factor for SCD. Clinical utility to guide selection of therapy has not been tested.</td>
</tr>
<tr>
<td>QT interval and QT dispersion</td>
<td>Some retrospective analyses data show that abnormalities in cardiac repolarization are risk factors for SCD. Clinical utility to guide selection of therapy has not yet been tested.</td>
</tr>
<tr>
<td>Signal-averaged ECG (SAECG)</td>
<td>An abnormal SAECG is likely a risk factor for SCD, based predominantly on prospective analyses. Clinical utility to guide selection of therapy has been tested, but not yet demonstrated.</td>
</tr>
<tr>
<td>Short-term heart rate variability (HRV)</td>
<td>Limited data link impaired short-term HRV to increased risk for SCD. Clinical utility to guide selection of therapy has not yet been tested.</td>
</tr>
<tr>
<td>Long-term ambulatory ECG recording (Holter)</td>
<td>The presence of ventricular arrhythmias (VPBs, NSVT) on Holter monitoring is a well-demonstrated risk factor for SCD. In some populations, the presence of NSVT has been effectively used to select high-risk patients for application of therapy to prevent sudden arrhythmic death. This may also have limited sensitivity.</td>
</tr>
<tr>
<td>Ventricular ectopy and NSVT</td>
<td>Increasing severity of heart failure is a likely risk factor for SCD, although it may be more predictive of risk for progressive pump failure. Clinical utility to guide selection of therapy has not yet been tested.</td>
</tr>
<tr>
<td>Long-term HRV</td>
<td>Low HRV is a risk factor for mortality, but likely is not specific for SCD. Clinical utility to guide selection of therapy has been tested, but not demonstrated.</td>
</tr>
<tr>
<td>Heart rate turbulence</td>
<td>Emerging data show that abnormal heart rate turbulence is a likely risk factor for SCD. Clinical utility to guide selection of therapy has been tested, but not yet demonstrated.</td>
</tr>
<tr>
<td>Exercise test/functional status</td>
<td>Increasing severity of heart failure is a likely risk factor for SCD, although it may be more predictive of risk for progressive pump failure. Clinical utility to guide selection of therapy has not yet been tested.</td>
</tr>
<tr>
<td>Exercise capacity and NYHA class</td>
<td>Limited data show that low heart rate recovery and ventricular ectopy during recovery are risk factors for SCD. Clinical utility to guide selection of therapy has not yet been tested.</td>
</tr>
<tr>
<td>Heart rate recovery and recovery ventricular ectopy</td>
<td>A moderate amount of prospective data suggests that abnormal T-wave alternans is a risk factor for SCD. Clinical utility to guide selection of therapy has been evaluated, but the results to date are inconsistent.</td>
</tr>
<tr>
<td>T-wave alternans</td>
<td>A moderate amount of data suggests that low BRS is a risk factor for SCD. Clinical utility to guide selection of therapy has not yet been tested.</td>
</tr>
<tr>
<td>Baroreceptor sensitivity (BRS)</td>
<td>A moderate amount of data suggests that low BRS is a risk factor for SCD. Clinical utility to guide selection of therapy has not yet been tested.</td>
</tr>
</tbody>
</table>

**Table** Summary of Noninvasive Risk-Stratification Techniques for Identifying Patients With Coronary Artery Disease Who Are at Risk for Sudden Cardiac Death (SCD)
evidence exists in the form of ICD trials that used LVEF either alone or in conjunction with other risk stratification methods in the inclusion criteria. The Multicenter Automatic Defibrillator Trial (MADIT) demonstrated that ICDs reduced mortality by nearly half compared with medical therapy alone in patients with class I to III heart failure, LVEF ≤35% with nonsustained VT (NSVT), and nonsuppressible (by procainamide) ventricular tachyarrhythmia on electrophysiological study. Subsequent analysis of the MADIT data demonstrated that the benefit of ICD therapy was greatest in patients with LVEF <26%, especially when other risk factors were present. Likewise, the Multicenter Unsustained Tachycardia Trial (MUSTT), which enrolled patients with LVEF ≤40%, noted that total mortality and arrhythmic deaths/cardiac arrests occurred more frequently in patients with an LVEF <30%. MADIT-II randomized patients with prior MI and LVEF ≤30% to medical therapy or ICD implantation and demonstrated a significant 31% reduction in the risk of death with ICD implantation. Finally, the Sudden Cardiac Death in Heart Failure Trial (SCD-HeFT) randomized 2521 patients with class II or III congestive heart failure and LVEF ≤35% due to ischemic and nonischemic cardiomyopathy and demonstrated a significant 23% reduction in mortality in ICD recipients compared with patients treated with medical therapy. Because ICDs only have an impact on arrhythmic death, the improvement in overall mortality seen in these trials is strong evidence of the high attributable risk of death due to arrhythmias in patients with moderate to severe left ventricular systolic dysfunction. These trials included patients with a range of New York Heart Association (NYHA) heart failure classes; the independent effects of NYHA heart failure class on risk are discussed below. Although overall risk is higher in patients with LVEF <35% to 40%, the absolute number of SCDs is greater in patients with more preserved LVEF. This epidemiological paradox occurs because the latter subgroup is much larger than the subgroup of patients with LVEF <35% to 40%.

In patients with nonischemic dilated cardiomyopathy, overall mortality has also been associated with LVEF, although few studies addressed the relationship between LVEF and SCD directly. Prospective observational studies on patients with nonischemic cardiomyopathy found that LVEF was the only significant predictor of major arrhythmic events on multivariate analyses. The combination of low LVEF (<30%) and NSVT on Holter monitoring identified the highest-risk subgroup with a relative risk 8.2-fold that of patients with LVEF ≥30% without NSVT. The SCD-HeFT and Defibrillators in Non-Ischemic Cardiomyopathy Treatment Evaluation (DEFINITE) trials reported an annual rate of SCD lower than that seen previously in cohort studies, likely as a result of high compliance rates with appropriate medical therapies. These studies demonstrated a trend toward reduced mortality rates in patients who received ICDs.

Conclusions
There are abundant data supporting the use of LVEF to risk-stratify patients with ischemic and nonischemic cardiomyopathies. There are clinical scenarios, such as the immediate post-MI period, in which other causes of mortality may confound the use of LVEF as a specific predictor of SCD. Although low LVEF identifies a group with relatively increased risk, the majority of SCDs occur in patients with more preserved LVEF, which highlights the limited sensitivity of this technique.

Electrocardiogram
QRS duration
QRS duration is a simple measure of the duration of ventricular activation measured on the 12-lead electrocardiogram (ECG) and is a manifestation of intraventricular or interventricular conduction delay or block. It is highly reproducible, with a coefficient of variation <5%. In a broad sample of patients receiving an ECG at the VA Palo Alto Health Care System in Palo Alto, Calif, 801 (1.8%) of 44 280 had a QRS duration >120 ms, and an additional 2300 had either right or left bundle-branch block. Estimates of the prevalence of QRS prolongation in the population with chronic congestive heart failure range between 20% and 50%, consistent with the notion that QRS prolongation becomes more prevalent in patients with advancing heart disease. Observational studies suggest that QRS prolongation is a significant marker for poor outcome in patients with depressed LVEF, especially due to coronary artery disease. QRS prolongation could be simply a surrogate marker for more advanced myocardial disease, but it may also contribute directly to increased mortality, because dysynchronous ventricular activation may cause depression of cardiac function. It has also been suggested that slow conduction and the associated increase in dispersion of ventricular recovery directly promote ventricular arrhythmias. The Coronary Artery Surgery Study registry found that patients with bundle-branch block had more extensive coronary artery disease, a lower mean LVEF, and higher 2-year mortality than those with normal QRS duration. Furthermore, the presence of left bundle-branch block was an independent predictor of cardiovascular mortality due to SCD. In an unselected population with congestive heart failure, investigators found a linear association between QRS duration and the prevalence of systolic dysfunction, although no independent association between QRS duration and all-cause mortality was recognized after adjustment for covariates. In contrast, the Italian Network on Congestive Heart Failure also examined the role of left bundle-branch block and found a higher prevalence of advanced heart failure and a 35% increased risk of SCD at 1 year. Similarly, a retrospective analysis of 669 patients with congestive heart failure of varying causes found that QRS duration ≥120 ms was independently associated with
an increase in all-cause mortality and SCD, especially in patients with LVEF ≤30%. Subgroup analyses of randomized, controlled ICD trials in patients at increased risk for SCD have also examined the role of QRS prolongation as a predictor of overall mortality and arrhythmic death. MADIT-II found no significant differences in the effect of ICD therapy on overall mortality or mortality due to SCD in subgroup analyses stratified according to QRS duration or the presence or absence of left bundle-branch block. Independent analysis of the MADIT-II data by the Centers for Medicare and Medicaid Services concluded that a QRS duration >120 ms was, in fact, an important indicator of which patients were likely to benefit from ICD therapy. Similarly, subgroup analysis from MUSTT concluded that patients with intraventricular conduction delay or left bundle-branch block (but not right bundle-branch block) had a 50% increase in the risk of cardiac arrest and total mortality, independent of LVEF and results of electrophysiological testing. Data presented from SCD-HeFT showed that the magnitude of ICD benefit depended on the definition of the cutoff point. For those patients with QRS duration ≥120 ms, the hazard ratio was 0.67 (95% confidence interval [CI] 0.49 to 0.93) versus a hazard ratio of 0.84 (95% CI 0.62 to 1.14) for those with QRS duration <120 ms. In contrast, when the QRS duration cutoff was >120 ms, the hazard ratio was 0.80 (95% CI 0.57 to 1.13) versus a hazard ratio of 0.74 (95% CI 0.46 to 0.99) for those with QRS duration ≤120 ms. Finally, in patients with ICDs, QRS duration has not been found to be a predictor of VT/VF that requires ICD therapy. These varied findings may reflect significant differences in the design and inclusion criteria between studies. In addition, because of the inherent limitations of subgroup analyses, any conclusions must be interpreted with caution.

The majority of cohort studies performed on patients with nonischemic dilated cardiomyopathy have not demonstrated a significant association between intraventricular conduction delay and SCD. ICD trials that included patients with nonischemic cardiomyopathy also evaluated the independent prognostic value of QRS width. DEFINITE did not show a relationship between QRS duration and all-cause mortality. SCD-HeFT, which enrolled patients with ischemic and nonischemic cardiomyopathies, reported that ICD therapy yielded a greater mortality reduction in patients with QRS duration ≥120 ms, but specific information on the relationship between QRS duration and mortality reduction in patients with nonischemic cardiomyopathy has not been presented.

Conclusions
A moderate amount of data show that increased QRS duration identifies patients at higher risk for SCD, although the data are not uniform. In the absence of prospective trials specifically designed to address this issue, the use of QRS duration to further risk-stratify patients with congestive heart failure for SCD is not recommended at this time.

### QT interval and QT dispersion
The QT interval is a reflection of the summed ventricular action potential durations. It shortens with increasing heart rate and is commonly corrected (QTc) by Bazett’s formula (QT interval divided by the square root of the R-R interval), although limitations of this correction are widely recognized. The normal corrected QT interval is slightly shorter in men than in women. The measured QT interval is influenced by the leads available for analysis and QRS prolongation, which makes assessment of the relative significance of QT prolongation alone problematic in many studies. QT-interval measurements have been shown to be highly reproducible, but the need for rate correction with suboptimal formulas limits the comparability of QT data in populations. QT prolongation has been associated with mortality in some observational studies in patients with depressed left ventricular function but not in others. Although a relation of QT interval to overall cardiovascular risk is demonstrable in large populations, studies evaluating the QT interval for prediction of SCD risk in individuals who do not have long-QT syndrome have demonstrated mixed results but generally link prolonged QT intervals with increased risk. Interobserver and intraobserver variability reduce the reproducibility for QT-interval measurement, as well as QT dispersion.

QT dispersion (the maximal difference between QT intervals in the surface ECG) was postulated to reflect dispersion of myocardial recovery and to be associated with arrhythmia risk. It has been associated with increased mortality in some observational studies. Several recent studies have found no relation between QT dispersion and outcome. Lack of a clear physiological correlate further clouds the utility of this parameter.

Dynamic changes in QT interval during a recording period have been suggested as a marker of repolarization instability that might be linked to arrhythmia susceptibility. The QT/R-R–interval relationship for an individual patient is highly stable over time. A steep slope of the relation between QT interval and preceding R-R interval has been associated with SCD and mortality in initial observational studies. In a substudy of 476 patients who received ICDs for primary prevention of SCD in MADIT-II, increased QT variability was associated with an increase in spontaneous VT or VF, but 22% of patients in the lowest quartile for QT variability also experienced arrhythmias, which suggests a poor negative predictive value.

Conclusions
Some data exist that link abnormalities in cardiac repolarization with an increased risk for SCD. The present data do not support the use of QT interval, QT dispersion, or QT-interval variability for risk stratification for SCD in patients without the long-QT syndrome. Further studies are needed to establish whether there is clinical utility of these parameters for risk stratification.
Signal-averaged ECG

In patients with VT, delayed or prolonged activation of small portions of the ventricle are common in regions of infarction or scar. Most infarctions do not result in complete transmural necrosis. The amount of surviving myocardium varies, as does its location. The increased separation of myocardial bundles and the disruption of their parallel orientation by fibrosis slows ventricular activation.62 During sinus rhythm, delayed ventricular activation, often extending beyond the end of the QRS complex, is more profound and is detectable at more cardiac sites in patients with sustained VT rather than in those without VT.63 Late potentials refer to low-amplitude signals that occur after the end of the QRS complex. Late potentials have been recorded in dogs with experimental infarction and correspond in time with fragmented and delayed electrograms recorded from the epicardium.64 In patients, late potentials have been correlated with late fragmented electrograms recorded directly from the heart and are related to the total mass of slowly activated tissue.63 Late potentials have been thought to represent a substrate for reentry and have been correlated in some studies,65 but not in others,66 with the site of earliest activation during VT.

Signal averaging to reduce noise allows high gain amplification and filtering to expose these signals on the surface ECG. Three time-domain measures of late potentials are commonly assessed for evidence of late potentials: QRS duration, low-amplitude signal duration, and root mean square voltage of the terminal 40 ms of the QRS. Delayed activation of the ventricle by bundle-branch block can obscure detection of late potentials, and these patients have been excluded from some analyses.67–71 Prolonged filtered QRS duration (>114 to 120 ms) appears to be the most robust measure correlated with outcome.70,72–74 Low-amplitude signal duration and root mean square measures were not associated with arrhythmic events in a large post-MI study.70 The SAECG is moderately reproducible,75 although its reproducibility is impaired by the presence of late potentials and low residual noise.76 The SAECG is either not useful or less useful in patients with right and left bundle-branch blocks.

Low-amplitude signals from regions of scar may also be obscured if the abnormal region is depolarized during the QRS. Analysis of transmural ventricular activation during sustained VTs from patients with healed infarction has confirmed that reentrant circuits involve intramural pathways located at the infarct border zone, with delayed conduction in the midmyocardium or subendocardium constituting a critical part of the circuit.77 Analysis of sinus beats from these patients demonstrated that activation of the myocardium that composed the reentrant circuit began shortly after the onset of the QRS complex and contributed little to the terminal QRS complex or ST segment. Instead, late potentials detected in SAECGs from these patients correlated with the region of myocardium activated last, which was both spatially and temporarily remote from that responsible for VT in some patients.78 Frequency analysis and analysis of spectral turbulence of the SAECG may expose the presence of abnormal activity that is not dependent on the timing of depolarization of abnormal regions, but these analyses are more involved and may be less reproducible.79–85

The SAECG has been evaluated early after acute MI.12,71,79,86–91 Because the SAECG appears to be linked to the substrate of the underlying infarction, it would be expected that therapies that alter the substrate or its development will alter the SAECG and perhaps the risk of SCD. Thus, thrombolytic therapy reduces the incidence of an abnormal SAECG in MI survivors.91–94 SAECG performed early after MI is abnormal in 15% to 35% of patients. SCD or cardiac arrest occurs in 3.3% to 9% of these patients over the following 1 to 3 years.12,14,70,71,79 For the prediction of SCD or arrhythmic events, the sensitivity of an abnormal SAECG has been reported to vary from 30% to 76% and the specificity from 63% to 96%. The relatively low rate of events, however, results in a low positive predictive value for SCD, ranging from 7% to 40% (7% and 17%, respectively, in the 2 largest studies).95 The negative predictive value is high, exceeding 95%, but this is also related to the low event rate.

Prolonged QRS duration on SAECG is associated with increased mortality and increased risk of arrhythmic events.96–97 The MUSTT investigators assessed the relation of the SAECG to arrhythmic events in 1268 patients with LVEF <40% and NSVT who did not have bundle-branch block.96 Recent acute MI had occurred in 15% of the subjects. A prolonged filtered QRS >114 ms was associated with a 28% risk of arrhythmic events during 5 years of follow-up compared with a 17% risk of events for those with shorter filtered QRS durations (hazard ratio 1.90, 95% CI 1.46 to 2.46). Prolonged QRS duration was also associated with inducible sustained monomorphic VT or polymorphic VT induced by 2 extrastimuli, with a sensitivity of 46%, specificity of 57%, positive predictive value of 42%, and negative predictive value of 62%.

The strategy of placing an ICD in patients with a positive SAECG was tested in the CABG-Patch study, which enrolled patients with LVEF <36% who had an abnormal SAECG and were undergoing coronary artery bypass surgery. At the time of surgery, patients were randomized to receive or not receive an ICD. ICD therapy did not improve survival, although arrhythmic deaths were reduced.17,98 Revascularization may have reduced the risk of SCD, or the criteria of a low LVEF and a positive SAECG may not have resulted in the selection of a group that was at sufficiently high risk when bypass surgery was being performed. In a series of 561 patients undergoing coronary artery bypass surgery, 72% of whom had preserved ventricular function, the postoperative SAECG was abnormal in 27% of patients, but this was not related to outcome.99

In patients with nonischemic dilated cardiomyopathy, evidence of late potentials detected by SAECG has been
associated with a history of ventricular arrhythmias.\textsuperscript{100–102} SAECG has predicted SCD and total mortality in some studies\textsuperscript{103} but not in others, including 3 relatively large series of 137, 202, and 343 patients, respectively.\textsuperscript{24,104–109} Some studies have found that an abnormal SAECG predicted death due to progressive heart failure rather than SCD.\textsuperscript{110,111}

**Conclusions**

Abundant data show that an abnormal SAECG may identify patients with prior MI at risk for SCD. Given the high negative predictive value of this test, it may be useful for the identification of patients at low risk. Routine use of the SAECG to identify patients at high risk for SCD is not adequately supported at this time. Further studies are required to assess the utility of this test.

**Short-term HRV**

Analysis of HRV provides a means of assessing autonomic nervous system modulation of the sinus node to infer autonomic activity on the rest of the heart, particularly the ventricles. Although the contributions of sympathetic and parasympathetic tone may be difficult to dissect in individual circumstances, studies using autonomic blockade have demonstrated that HRV is almost completely due to autonomic input to the sinus node. HRV then provides a surrogate for the autonomic effects in the ventricle that are postulated to be important in the pathogenesis of VT and VF. Cardiac arrhythmias are often initiated by or occur in patients with enhanced sympathetic and diminished parasympathetic tone. Thus, it has been proposed that an analysis of HRV, particularly its parasympathetic effects on the sinus node, can potentially predict mortality. Spectral analysis of heart rate identifies periodic oscillations in rate that are high-frequency (0.15 to 0.45 Hz) and low-frequency (0.04 to 0.15 Hz) ranges.\textsuperscript{112} Respiratory sinus arrhythmia mediated by fluctuations in parasympathetic tone is a major determinant of the high-frequency component. Sympathetic nervous activity contributes importantly to low-frequency HRV. Other factors are also involved, and the genesis of HRV/heart rate turbulence is less reproducible in patients with congestive heart failure.\textsuperscript{115} Furthermore, there is marked interindividual variation in the relationship of short-term HRV to parasympathetic effect.\textsuperscript{116} Thus, the identification of clear limits for the differentiation of normal and abnormal results in an individual may be difficult.

In a 900-subject cohort of adults, those in the lowest tertile for HRV assessed from 2-minute ECG recordings had an increased risk of cardiovascular death.\textsuperscript{117} A small study of patients evaluated early after MI did not find a relation of short-term HRV to arrhythmic events, possibly owing to sample size.\textsuperscript{118} In patients with chronic heart failure, La Rovere and coworkers\textsuperscript{119} analyzed 8-minute recordings during quiet rest with spontaneous breathing or controlled breathing. A diminished ratio of low- to high-frequency power during spontaneous breathing, a standard deviation of R-R intervals <15 ms, and diminished low-frequency power during controlled breathing were univariate predictors of arrhythmic mortality. In multivariate analysis, diminished low-frequency power during controlled breathing was associated with a 5-fold increase in arrhythmic mortality. The combination of preserved low-frequency power and fewer than 86 ventricular premature beats (VPBs) per hour was associated with a 3% SCD risk compared with 23% for the remainder of the population.

**Conclusions**

Limited data link impaired short-term HRV to sudden death. At the present time, its use for risk stratification for SCD is not recommended.

**Long-term ambulatory ECG recording (Holter)**

The ambulatory ECG (AEKG) or Holter monitor has been available for decades, and the clinical utility of the device has expanded and changed over the years. This section addresses quantification of ventricular arrhythmias (VPBs and NSVT) and HRV/heart rate turbulence recorded by the AECG as a tool for assessing risk for SCD. This is drawn in part from the American College of Cardiology/American Heart Association guidelines for ambulatory electrocardiography.\textsuperscript{120}

**Ventricular ectopy and NSVT**

Although the AECG can reliably record the presence of VPBs and NSVT, the day-to-day reproducibility of the frequency of these arrhythmias is poor.\textsuperscript{120} In the 1970s and 1980s, observational studies demonstrated that VPBs (generally 10 or more VPBs per hour) and NSVT as recorded by an AECG in post-MI patients were risk factors for subsequent mortality.\textsuperscript{8,10,121,122} Data suggest that ectopy beyond 10 VPBs per hour does not convey a further increase in risk.\textsuperscript{123} It has also been suggested that VPBs are an independent predictor of mortality, whereas NSVT may not be a predictor.\textsuperscript{124} The initial studies described patients without reperfusion, but a similar relationship has been observed (although with somewhat reduced risk) in the era of thrombolysis and acute reperfusion.\textsuperscript{13,123,125–127} In the Gruppo Italiano per lo Studio della Sporavvivenza nell’ Infarto Miocardico 2 (GISSI-2) study,\textsuperscript{127} mortality was 5.5% at 6 months for patients with >10 VPBs per hour compared with 2% in those with less frequent ectopy. The positive predictive value of ventricular ectopy after MI for predicting cardiac arrhythmic events or death generally ranges from 5% to 15%, with a negative predictive value of 90% or more.\textsuperscript{120} When combined with reduction of LVEF, ventricular ectopy becomes a stronger risk factor for mortality. In the European Myocardial Infarction Amiodarone Trial (EMIAT), among postinfarction patients with LVEF ≤40%, mortality was higher in patients with frequent or complex arrhythmias on AECG than in those without (20% versus 10%).\textsuperscript{128}
Patients with nonischemic cardiomyopathy are at increased risk of SCD and frequently have high-grade ventricular ectopy and NSVT; however, the relationship between arrhythmias on AECG and cardiac arrest is much less clear than in the case of ischemic cardiomyopathy. Observational trials make up the majority of data available, and NSVT is used more commonly than ventricular ectopy for risk stratification, likely in relation to the high frequency of VPBs in this population. The Gruppo de Estudio de la Sobrevida en la Insuficiencia Cardiaca en Argentina (GESICA) trial, which included a majority of patients with nonischemic cardiomyopathy, confirmed the prevalence of ventricular arrhythmias on AECG in patients with heart failure and LVEF ≤35%. NSVT was an independent predictor of mortality, but ventricular couplets appeared to be equally predictive. Couplets and/or NSVT were detected in 62.7% of the study population, with a 50.8% mortality rate. The remaining 37.3%, without couplets or NSVT, had a lower mortality rate of 26.3%.

The sensitivity of NSVT in relationship to SCD or total death varies among several studies, ranging from 31% to 71%. The positive predictive value is low, ranging from 20% to 50%, although the negative predictive value has been cited as 72% to 93%.

There is a long history of intervention trials designed to reduce mortality in high-risk patients with VPBs or NSVT. The Cardiac Arrhythmia Suppression Trial (CAST) was a groundbreaking, double-blind, randomized study that demonstrated that suppression of ectopy and nonsustained VT after MI with type IC antiarrhythmic drug therapy actually increased mortality in this population. CAST demonstrated that markers of risk are not necessarily appropriate targets for therapeutic interventions. Randomized, controlled trials have used NSVT, often documented by AECG, to identify patients who should undergo electrophysiological testing and further treatment if VT was inducible. These studies showed significant 50% to 60% reductions in mortality in the ICD-treated groups, but intervention was based on electrophysiological testing.

In patients with nonischemic cardiomyopathy and congestive heart failure, LVEF ≤35%, and ventricular arrhythmias (NSVT or an average of 10 or more VPBs per hour), DEFINITE demonstrated a trend toward improvement in overall survival (hazard ratio 0.65, 95% CI 0.40 to 1.06, P=0.08) and a reduction in arrhythmic events (hazard ratio 0.20, 95% CI 0.06 to 0.71, P=0.006) with ICD therapy. The mortality rate of the non-ICD group was 7% per year, but no comparison group of patients without ventricular arrhythmias was reported.

Conclusions

There is abundant information linking the detection of ventricular arrhythmias (VPBs, NSVT) on AECG in post-MI patients with left ventricular dysfunction for risk assessment for sudden death. Use of the AECG in this setting has been classified as a class IIb recommendation; however, the incremental risk stratification provided by this finding in patients with LVEF ≤35% is unclear. On the other hand, patients with LVEF between 35% and 40% may warrant AECG recording to assess for NSVT, because this group has been shown to benefit from an ICD if VT is induced at electrophysiological study. Patients with preserved left ventricular function after MI are generally at low risk, and current data suggest that they would not benefit from undergoing risk stratification with AECG recording. Finally, in patients with dilated cardiomyopathy, DEFINITE required the presence of ventricular ectopy or NSVT on AECG, whereas SCD-HeFT did not; thus, the utility of AECG for risk stratification in this population remains unclear.

Long-term HRV

Three groups of techniques have been used to quantitatively examine HRV from long-term AECG recordings and address its ability to supply prognostic information in patients with underlying cardiac disease; these have been summarized in a joint European Society of Cardiology/North American Society for Pacing and Electrophysiology report published in 1996. The time- and frequency-domain indices have been evaluated extensively. Power spectral analysis has focused on several different frequency bands: ultralow frequency, very low frequency, low frequency, and high frequency, with power expressed in absolute or normalized units. There remains debate about which factors alter HRV in each of the frequency bands. Assessment of long-term HRV from 24-hour AECG recordings is influenced by circadian rhythms and patient activity. Thus, because of the changing autonomic control or modulation of the heart rate throughout the day, the high- and low-frequency power components are not stationary, and their link to specific physiology is therefore less well defined. Analysis of these bands from short-term recordings during controlled conditions avoids these potentially confounding problems. Time- and frequency-domain analyses are simply different methods to examine the same data set. As such, it is not surprising that a high degree of correlation exists among parameters. There are data to support the reproducibility of these measures. More recently, nonlinear methods have also been used to examine HRV. These studies are much less well developed than studies of time- and frequency-domain analysis. Of the nonlinear techniques that are available, the largest amount of clinical data is available for the power-law relationship. To derive the power-law relationship, the frequency-domain data are plotted [log(power) versus log(frequency)], and the inverse slope of this plot helps to define the complexity of heart rate fluctuations. The complexity of variability analyzed by nonlinear methods can also be expressed with fractal scaling or fractal dimension.

The ability of HRV to predict arrhythmic, cardiac, or total mortality has been studied in a variety of different populations. In 1987, Kleiger et al reported a relative risk of 5 for all-cause mortality in patients with low time-domain measures of HRV. Since then, a number of studies have
reported an increased mortality in patients with low time- and frequency-domain measures of HRV. The ability of frequency-domain measures to predict mortality appears approximately equivalent to that of time-domain measures. In most studies, patients with angina or heart failure and those who had experienced an MI had a higher mortality if HRV was low. In general, the relative risk is in the range of 2 to 3, but lower numbers have been obtained in large population studies, such as the Framingham study. In different studies, different time and frequency measures have shown the highest predictive value for all-cause mortality or sudden death. Overall, HRV was a better predictor of total mortality than of SCD mortality. \(^{117,119,140,142,143}\) Of nonlinear methods, the power-law relationship has been studied the most extensively. Huikuri et al\(^{144}\) examined a “random sample” of 347 subjects who were >65 years old. In that study, the nonlinear power-law relationship was the best predictor of all-cause mortality (relative risk = 7.9, \(P<0.001\)); however, in a multivariate analysis, the relative risk decreased to 1.74. Time-domain measures did not perform as well in their analysis. Huikuri et al\(^{145}\) also examined short-term fractal scaling (\(\alpha\)) in a different patient population and found it had a better predictive value than time-domain measures; however, more large-scale population studies will be required before there are adequate data to determine whether this methodology holds promise for risk stratification.

In most population studies using multivariate analysis, HRV provides significant, independent prognostic information. The Autonomic Tone and Reflexes After MI (ATRAMI) study\(^{146}\) showed that after MI, patients with low HRV had a relative mortality risk of 3.2, with accounting for LVEF and ventricular ectopy. Two recent intervention HRV had a relative mortality risk of 3.2, with accounting short-term fractal scaling (\(\alpha\)). Of nonlinear methods, the power-law relationship has been studied the most extensively. Huikuri et al\(^{144}\) examined a “random sample” of 347 subjects who were >65 years old. In that study, the nonlinear power-law relationship was the best predictor of all-cause mortality (relative risk = 7.9, \(P<0.001\)); however, in a multivariate analysis, the relative risk decreased to 1.74. Time-domain measures did not perform as well in their analysis. Huikuri et al\(^{145}\) also examined short-term fractal scaling (\(\alpha\)) in a different patient population and found it had a better predictive value than time-domain measures; however, more large-scale population studies will be required before there are adequate data to determine whether this methodology holds promise for risk stratification.

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Conclusions

Abundant data show that depressed HRV is a predictor of total mortality. Despite the theoretical pathophysiological link among abnormal HRV, autonomic tone, and arrhythmogenesis, the present data show that HRV may be a better marker of nonarrhythmic mortality. Further studies are needed to establish whether HRV has a role in risk stratification for SCD.

Heart rate turbulence

Heart rate turbulence describes the short-term fluctuation in sinus cycle length that follows a VPB.\(^{148}\) Although the mechanism of heart rate turbulence is not known with certainty, it has been postulated that it measures vagal responsiveness in a fashion similar to baroreflex sensitivity (BRS). After a premature beat and a compensatory pause, there is a typical increase in blood pressure due to the prolonged filling in the cycle of the compensatory pause. Reflex parasympathetic activation ensues and slows the heart rate. This parasympathetic reactivation can be defined by the time of onset of the return of heart rate to normal and the slope (turbulence slope) of that return. Heart rate turbulence requires the response to a number of premature beats (15 to 20) to be averaged. As with other techniques that purport to measure the effects of autonomic tone on the sinus node, a higher slope, which indicates more parasympathetic responsiveness, should correlate with improved prognosis. Heart rate turbulence has been examined primarily in post-MI patients.\(^{148–150}\) The relative risk imparted by low heart rate turbulence in patients who have had an MI appears impressive. For example, in an ATRAMI substudy,\(^{151}\) there was a relative risk of \(\approx 4\) in multivariate analysis. A composite autonomic index, which included BRS and time-domain measures of HRV, increased the relative risk to 8. A smaller number of studies of patients with nonischemic dilated cardiomyopathy, chronic congestive heart failure, or hypertrophic cardiomyopathy (HCM) and patients undergoing revascularization have also suggested a predictive value of heart rate turbulence.\(^{24,149,151–154}\) In the Marburg Cardiomyopathy Study of 242 patients with nonischemic dilated cardiomyopathy,\(^{155}\) heart rate turbulence onset was a multivariate predictor of transplant-free survival (relative risk 2.95, 95% CI 1.11 to 7.48) but not of arrhythmic events.

Heart rate turbulence is potentially attractive as a risk stratification tool because it can be performed with a relatively small number of premature beats from 24-hour AECG and does not require blood pressure monitoring or intervention, as BRS does. Further data regarding its reproducibility are needed. Although some studies suggest it has significant predictive value after MI, only a few studies have been completed. Follow-up in some studies was not long term, and intervention trials based on heart rate turbulence have not been performed.
Conclusions
Emerging data show that abnormal heart rate turbulence is associated with increased mortality. Further studies are needed to establish whether there is clinical utility of this parameter for risk stratification.

Exercise test/functional status
Exercise capacity and NYHA class
Left ventricular dysfunction is well established as a risk factor for sudden death; however, the clinical syndrome of congestive heart failure itself can also contribute to arrhythmogenesis in patients with ventricular dysfunction and can increase mortality in patients with either an ischemic or nonischemic dilated cardiomyopathy, independent of LVEF. Heart failure is associated with many factors that predispose to ventricular arrhythmias, including increased circulating catecholamines, electrolyte imbalances caused by diuretic use, prolonged repolarization, stretched-induced afterdepolarizations, and Purkinje system conduction delay. Manifestations of neurohormonal activation, such as hypotension and increased plasma norepinephrine, renin, and natriuretic peptide levels, have been found to be predictive of mortality. Some medical therapies for congestive heart failure have been shown to reduce both progressive heart failure and SCD due to cardiovascular causes. ICDS have found that heart failure symptoms are associated with defibrillator therapies. A recent study, the Triggers Of Ventricular Arrhythmias (TOVA), identified NYHA functional class III as the strongest independent predictor of appropriate ICD therapy. SCD-HeFT found a mortality benefit from ICD therapy for primary prevention among patients with congestive heart failure and either an ischemic or nonischemic dilated cardiomyopathy. Subgroup analysis showed that patients with class III heart failure did not appear to benefit compared with patients with class II heart failure. On the other hand, DEFINITE, which enrolled only patients with a nonischemic cardiomyopathy, found a greater benefit of ICD therapy among patients with class III heart failure than among patients with class II heart failure. In MADIT-II, which enrolled only post-MI patients, there were no significant differences in the beneficial effect of ICD therapy on survival in subgroup analyses stratified according to NYHA class.

The primary limitation of the use of heart failure severity to risk-stratify patients with systolic dysfunction for SCD is that although mortality increases with the severity of heart failure, the proportion of deaths due to SCD decreases as deaths due to progressive pump failure increase. The Metoprolol CR/XL Randomized Intervention Trial in Chronic Heart Failure (MERIT-HF) showed that the overall mortality rate for patients with NYHA class II symptoms was 5% and that 85% of those deaths were sudden. In contrast, the overall mortality rate for patients with class IV symptoms was 21%, with only 33% of those being SCDS. Therefore, even if ICD therapy eliminated SCD in patients with advanced heart failure, it is not clear what the net overall impact would be on mortality.

Heart rate recovery and recovery ventricular ectopy
Immediately after graded exercise, heart rate normally falls in a biphasic manner, with an initial rapid decline occurring during the first 30 seconds to 1 minute of recovery. Imai and colleagues demonstrated that this initial steep descent is marked in athletes and attenuated in patients with heart failure and that it can be eliminated by administration of atropine. Thus, parasympathetic reactivation likely plays a major role in regulating heart rate recovery. Because impaired parasympathetic tone correlates with increased risk of death, it was hypothesized that an attenuated heart rate recovery would similarly predict an increased risk of death. In a cohort study of 2428 patients who were referred for exercise myocardial perfusion imaging and who were candidates for first-time coronary angiography, a 1-minute heart rate recovery ≤12 beats per minute was associated with a markedly increased risk of all-cause death (positive predictive value 19%, negative predictive value 95%, con-founder-adjusted hazard ratio 2.0, 95% CI 1.5 to 2.7). Subsequent investigations have confirmed the link between decreased heart rate recovery and all-cause death in a variety of groups. Specifically, heart rate recovery has been shown to be predictive of mortality even after accounting for the Duke treadmill exercise score, left ventricular systolic function, the type of recovery protocol used, and angiographic severity of coronary disease. Heart rate recovery predicts mortality...
along with exercise capacity in men with diabetes mellitus. Among patients with imaging evidence of ischemia, a low heart rate recovery identifies patients for whom the survival benefit of revascularization is attenuated; that is, patients with ischemia are most likely to realize improved survival if heart rate recovery is normal. Investigators from the Paris Civil Servants study reported a link specifically between heart rate recovery and SCD, but these subjects were all free of cardiovascular disease.

Despite the strong data linking heart rate recovery to mortality, its routine use for clinical risk stratification has been brought into question. The ideal recovery protocol and abnormal cutoff value are unclear; some advocate an upright cool-down period with a cutoff value of ≤12 beats per minute into recovery, whereas others support a sit-down recovery with a cutoff value of ≤22 beats per minute at 2 minutes into recovery. When a supine recovery is mandated, as in stress echocardiography, a cutoff value of ≤18 beats per minute has been described. In addition, the reproducibility of an abnormal result may not be sufficient to apply the test for individual (versus population) risk stratification. There are no substantive data in patients with dilated cardiomyopathy.

A phenomenon related to heart rate recovery is ventricular ectopy during recovery, which has also been hypothesized to reflect parasympathetic activity. Occurrence of frequent or severe ventricular ectopy during the first 5 minutes of recovery after exercise has been linked to risk of death in patients without and with heart failure and/or coronary artery disease.

**Conclusion**

Although heart rate recovery and ventricular ectopy during recovery are new and interesting markers of mortality, their value as risk stratification tools for SCD is untested.

**T-wave alternans**

In 1994, Rosenbaum et al first related T-wave alternans to high-risk findings on electrophysiological testing and to an increased risk of serious arrhythmic events. T-wave alternans is a reflection of repolarization alternans at the level of the single cell and most likely arises when heart rate exceeds the capacity of cardiac cells to cycle intracellular calcium. Therefore, T-wave alternans is a rate-dependent phenomenon and tends to occur at relatively lower heart rates in patients susceptible to life-threatening ventricular arrhythmias. Interestingly, by amplifying electrical heterogeneities between neighboring cardiac cells, T-wave alternans has been directly linked to a mechanism of arrhythmogenesis. Detection of T-wave alternans requires graded exercise to elevate heart rate, as well as special electrodes and processing to record the microvolt-level T-wave alternans with high fidelity. Because of the need to achieve a target heart rate with regular R-R intervals, a significant percentage of tests are indeterminate owing to either failure to reach target heart rate, atrial fibrillation, or frequent ectopic activity. T-wave alternans is moderately reproducible, with concordance on repeated tests of 65% to 75% and 80% to 90% when only patients with determinate results are considered.

A number of observational cohort studies have been published that suggest that microvolt T-wave alternans may work at least as well as electrophysiological testing for prediction of SCD or major arrhythmic events. Recent cohort studies that involved at least 100 patients found that T-wave alternans was associated with substantially increased risk and predicted events as well as or better than other markers, including LVEF, electrophysiological testing, SAECG, BRS, and HRV. Furthermore, T-wave alternans predicted risk in patients with coronary artery disease and in patients with dilated cardiomyopathy. In all of these studies, patients not manifesting T-wave alternans were at low risk for SCD.

Two important methodological considerations are the type of stress used to induce T-wave alternans and the threshold for labeling a test abnormal. Although pacing-induced T-wave alternans has been linked to ventricular arrhythmia risk, one head-to-head comparison study found that exercise-induced T-wave alternans was a better predictor. The typical definition for an abnormal T-wave alternans test is the occurrence of >1.9 μV of alternans starting at a heart rate of <110 beats per minute. Tanno and colleagues, in a study of pacing-induced T-wave alternans, found that increasing the heart rate cutoff can increase the negative predictive value to 100% but at the cost of a lower positive predictive value. Of note, a significant percentage of tests are indeterminate; many studies have classified these patients as nonnegative and have noted a similar prognosis as that for patients with a positive result. This may relate to the underlying factors responsible for the indeterminate test, ie, inability to achieve the necessary heart rate.

Despite the consistency of the reports linking T-wave alternans to risk, published studies are limited by the sometimes highly select patient samples, relatively low number of end points, use of composite end points, and lack of randomization. One recent cohort study of 177 patients with coronary artery disease and LVEF ≤30% suggested that T-wave alternans may be better than QRS duration for identifying patients likely to benefit from ICDs. The hazard ratios for 2-year mortality were 4.8 for abnormal T-wave alternans and 1.5 for prolonged QRS duration. A multicenter study of 549 patients (49% with coronary artery disease) with LVEF ≤40% who underwent T-wave alternans testing reported that the 2-year event (death or nonfatal sustained ventricular tachyarrhythmia) rate was 12.3% in the 162 patients with a positive test, 17.5% in the 198 patients with an indeterminate test, and 2.5% in the 189 patients with a negative test (hazard ratio 6.5 for an abnormal test). Event rates were significantly greater in patients with both ischemic and nonischemic heart disease who had abnormal versus normal T-wave alternans (16.8% and...
tors.181 Two large trials presented their findings at the 2006 Scientific Sessions of the American Heart Association regarding the use of T-wave alternans. The ABCD trial, which enrolled 566 patients with coronary artery disease and LVEF \( \leq 40\% \), found that a positive T-wave alternans test was as predictive of arrhythmic events as a positive electrophysiology study. Importantly, the event rate for patients in whom both tests were negative was low. In contrast, a 490-patient substudy of SCD-HeFT found no significant difference in arrhythmic events between those who had a positive versus a negative T-wave alternans test. Of note, 41% of the population had an indeterminate result.

**Conclusions**

A moderate amount of data suggest that T-wave alternans may be useful for risk stratification for SCD. Further information will be required to determine how to implement this test in clinical practice.

**Baroreceptor sensitivity**

BRS refers to the adaptation of cardiac periods (R-R intervals) to changes in blood pressure. The baroreflex mechanism has been established as a central part of the regulation of the cardiovascular system, particularly in the control of parasympathetic and sympathetic outflow to the heart and the peripheral vessels.\(^{189}\)

There are different methods of evaluating BRS, but the one that is most applicable to routine clinical use is probably the phenylephrine method.\(^{189}\) In essence, BRS is assessed by this method during a brief period of controlled blood pressure change. Most often, such a provocation is caused by the injection of an intravenous bolus of phenylephrine (an \( \alpha \)-agonist that causes reflex parasympathetic enhancement). Precise, simultaneous recordings of the ECG-derived R-R intervals and systolic blood pressure values are necessary to calculate BRS. Specifically, BRS is expressed as the slope of the regression line showing the dependency of R-R intervals on blood pressure values. In healthy individuals, the intravenous administration of 25 to 100 \( \mu \)g of phenylephrine results in a \( >20\text{-mm Hg} \) increase in systolic blood pressure, and R-R intervals are prolonged by \( >10\ms \) for each 1-mm Hg of pressure increase. Under optimal experimental conditions, BRS is only moderately reproducible, with a coefficient of variation of 38% on repeated tests.\(^{189}\)

Extensive experimental work convincingly demonstrated a close link between reduced BRS and increased risk for serious ventricular tachyarrhythmias.\(^{190}\) La Rovere et al\(^{191}\) prospectively determined BRS in 78 post-MI patients who were followed up for 2 years, during which time 7 cardiovascular deaths occurred, including 4 sudden deaths. BRS was significantly lower in the 7 deceased patients than in the survivors. These results were subsequently confirmed by other studies.\(^{192,193}\) An important step toward establishing BRS determination for risk stratification after MI was achieved by the multicenter, prospective ATRAMI study.\(^{146}\) In contrast to most previous studies, ATRAMI was a prospective study evaluating the accuracy of BRS and HRV in predicting cardiac mortality. The trial used prospectively defined cutoff values for both autonomic markers. In 1284 postinfarction survivors, HRV and BRS were assessed at the time of hospital discharge. During 21 months of follow-up, there were 44 cardiac deaths and 5 nonfatal cardiac arrests. Depressed HRV (standard deviation of normal \(<70\ms\) vs BRS \( <3.0\text{-ms/mm Hg} \)) carried a significant multivariate risk of cardiac mortality (3.2 [95% CI 1.4 to 7.4] and 2.8 [1.2 to 6.2], respectively). Risk increased further when both parameters were depressed. The association of low BRS or SDNN with a reduced LVEF (\( <35\% \)) carried a relative risk of 8.7 (4.3 to 17.6) or 6.7 (3.1 to 14.6), respectively, compared with patients with better preserved LVEF and less compromised HRV or BRS. The main conclusion from this important trial is that early after acute MI, the analysis of parasympathetic reflexes yields significant prognostic value independent of LVEF or other noninvasive risk stratifiers. Analysis of BRS adds to the prognostic value of HRV, which signifies that measures of autonomic tone and parasympathetic reflex activity are not redundant but rather complementary.\(^{146}\)

Subsequent analyses showed that when examined in conjunction with depressed LVEF, BRS contributed in a novel way to risk stratification. Specifically, within the group of patients with LVEF \( <35\% \), those with preserved BRS had a significantly better 2-year survival than those with depressed BRS. This was even more evident for major arrhythmic events (3% versus 16%). The latter analysis must certainly be repeated in larger patient populations. In the Marburg Cardiomyopathy Study,\(^{24}\) of the 263 patients with nonischemic dilated cardiomyopathy who were in sinus rhythm, BRS was not a multivariate predictor of arrhythmic events but exhibited a trend toward predicting transplant-free survival (relative risk 1.42, 95% CI 0.95 to 2.13).
Conclusions
A moderate amount of data suggest that BRS may be useful for risk stratification for SCD in patients with coronary artery disease. Further studies are needed to establish the clinical utility, if any, of this parameter for risk stratification.

Other testing
In addition to the noninvasive testing described in detail above, there are several other tests that may be useful for risk stratification. Evaluation of myocardial ischemia is clearly important, because this may serve as an important trigger for life-threatening ventricular arrhythmias, either in patients with preexisting substrate or, less commonly, as a primary cause. Electrophysiological testing has demonstrated utility in identifying the substrate for sustained VT and could become an important part of a risk stratification strategy. Finally, newer techniques, such as characterization of infarct size or morphology by contrast-enhanced magnetic resonance imaging, could provide information on susceptibility to ventricular tachyarrhythmias in patients with coronary artery disease and nonischemic dilated cardiomyopathy.

Hypertrophic cardiomyopathy
Because HCM is the most common cause of SCD in the young, including competitive athletes, the unique risk stratification issues related to HCM are reviewed. HCM is a genetic heart disease with heterogeneous clinical expression. Although only a minority of the overall HCM population are at high risk for sudden death, strategies for risk stratification and isolation of that important subset have constituted a major investigative focus. It has also been appreciated that the literature may have previously overestimated the risks associated with HCM, because many of the published data had been derived from tertiary referral centers with disproportionate numbers of high-risk patients.

In contrast to the ischemic and nonischemic cardiomyopathies under consideration in the present statement, the vast majority of patients with HCM at risk for SCD are young, asymptomatic (or mildly symptomatic) adolescents or adults <35 years old. These patients may not have reliable warning signs, and thus, SCD can be the initial disease presentation. However, SCD risk also extends through midlife and beyond; therefore, achieving any particular age does not itself confer immunity to sudden death.

Many of the tests or parameters described in this statement to assess risk for SCD in ischemic and nonischemic cardiomyopathies are generally not applicable to patients with HCM. These include 12-lead ECG patterns, which are usually abnormal and particularly heterogeneous in HCM, with little predictive value regarding outcome. Because HCM is characterized by hyperdynamic or normal left ventricular function, LVEF has little or no prognostic power, except in the small minority of patients in the end-stage phase with systolic dysfunction due to diffuse LV scarring. Heart rate recovery, HRV, SAECG, and T-wave alternans have not been well studied as markers of SCD risk in this disease.

Because of the relatively low prevalence of HCM in general cardiological practice, its diverse presentation and mechanisms of death, and skewed patient referral patterns, the level of evidence governing risk stratification strategies has most often been derived from nonrandomized and retrospective investigations. Furthermore, the long risk period for this relatively young patient population and the low SCD event rate represent obstacles to developing and testing risk stratification strategies. Large-scale controlled and randomized study designs, such as those that have provided important answers regarding the management of coronary artery disease and congestive heart failure, have generally not been available in HCM patients owing to these demographic factors. Additionally, most of the clinical markers of SCD risk in HCM are limited by relatively low positive predictive value (<20%), largely due to low event rates. However, high negative predictive values attributable to these markers (~90%) suggest that the absence of risk factors may be used to develop a profile of those patients with low likelihood of sudden death.

The highest risk for SCD has been associated with (1) prior cardiac arrest or spontaneously occurring sustained VT; (2) family history of a premature HCM-related death, particularly if SCD occurred in a close relative, or when multiple; (3) unexplained syncope, particularly in young patients; (4) NSVT (usually asymptomatic short bursts of 3 to 6 beats at ≥120 bpm) on long-term AECG recordings, particularly if prolonged or multiple/repetitive on serial studies; (5) attenuated or hypotensive blood pressure response during upright exercise, indicative of hemodynamic instability; and (6) extreme left ventricular hypertrophy with maximum wall thickness ≥30 mm on 2-dimensional echocardiography, particularly in adolescents and young adults.

Available data suggest that left ventricular outflow obstruction (gradient ≥30 mm Hg at rest) assessed by continuous-wave Doppler echocardiography can only be regarded as a minor risk factor for SCD in HCM (positive predictive value of only 7%). Myocardial ischemia (associated with impaired coronary vasodilator capacity), in the absence of coronary artery disease, is probably an important pathophysiological mechanism in HCM, as a consequence of abnormal microvasculature (ie, intramural “small-vessel disease”). However, ischemia (or its consequences) as a prognostic marker in HCM has proved to be difficult to assess with standard exercise testing, thallium imaging, echocardiography, or magnetic resonance imaging. Positron electron tomography has shown a significant relationship between myocardial ischemia and the progression of heart failure in HCM, but not specifically with sudden death. It has also been proposed, on the basis of genotype-phenotype correlations in a relatively small number of families, that the genetic defects responsible for HCM could represent the primary determinant of SCD risk, with specific mutations conveying either favorable or adverse prognosis. However,
the clinical utility of genetic testing for predicting prognosis and developing individual patient management strategies is uncertain.

Although the available data on risk stratification for SCD are substantial, it is important to underscore that precise criteria for identification of high-risk patients by clinical risk markers are not complete. Although it has been possible to identify many such patients only by history taking or noninvasive testing, a minority of HCM patients who die suddenly are without any of the currently acknowledged risk factors. Although there likely is a need for serial testing, there are no data to establish with what frequency 2-dimensional echocardiography, ECG, AECG, and exercise testing should be repeated.

Conclusions
Observational data regarding risk stratification for SCD in HCM at present support testing with ECG, AECG, treadmill (or bicycle) exercise, and 2-dimensional echocardiography, in addition to obtaining a personal and family history. There are no randomized trials that use these parameters.

Patient-based approach to risk stratification
When an individual patient is being evaluated to assess his or her risk for SCD, there are several important issues that should be addressed. First and foremost, the specific goal for risk stratification for the individual patient should be identified. The choice of tests may vary if the goal is to determine the appropriateness of implanting an ICD versus titrating the aggressiveness of medical therapy versus providing the patient with information regarding his or her prognosis. At this time, there is no consensus regarding the level of risk that justifies an intervention, based on either the level of benefit or cost associated with the intervention. This is further compounded by the fact that the risk-benefit ratio of an intervention in an individual patient could differ from that observed in large-scale trials. In addition, individual and societal tolerance for risk may differ. These issues are not subject to evaluation in clinical trials, and therefore, only sound clinical judgment can be used by the practitioner to address them.

Another important issue is assessing the timing of evaluation. Early attempts at risk stratification focused on evaluating patients in the early postinfarction period. Many studies have demonstrated time-dependent changes in many of the risk stratification techniques discussed in this statement, including LVEF, ventricular ectopy, the SAECG, and HRV. Although there is a continued and perhaps even an enhanced risk for SCD in patients remote from their MI, there does remain a heightened mortality risk in the first several months postinfarction for which the cause is unclear. Most ICD primary prevention trials have specifically excluded these patients and only enrolled patients remote from their MI. In contrast, the DINAMIT study, which enrolled patients within 40 days of an MI who had low LVEF (≤35%) and low HRV, did not show a survival benefit for those treated with an ICD. Similarly, the CABG-Patch trial enrolled patients with coronary artery disease who had low LVEF and positive SAECGs and also found no survival benefit for those treated with an ICD. Although it is tempting to identify the SAECG or the HRV as the risk stratification technique that failed to identify the appropriate high-risk patients who would benefit from an ICD, it must be emphasized that these patients all had low LVEF. Because MADIT-II and SCD-HeFT, which included patients with similarly low LVEF, demonstrated a survival benefit with an ICD, it appears likely that the clinical settings (early postinfarction period or post-CABG surgery) may also affect the etiology of SCD and therefore the utility of the risk stratification techniques. Furthermore, it was recently shown that eplerenone reduced the risk of SCD by 37% at 30 days in a randomized trial of patients with acute MI, left ventricular systolic dysfunction, and heart failure, which suggests that alternative therapies may be required during this time period to reduce the risk of SCD. The Cardiac Arrhythmias and Risk Stratification after Myocardial infarction (CARISMA) study is a multicenter study enrolling patients with an LVEF ≤40% after acute MI in whom a loop recorder is implanted to evaluate the incidence of tachyarrhythmia and bradyarrhythmia episodes. This study will specifically evaluate the value of 24-hour AECG, SAECG, QT dispersion, T-wave alternans, and electrophysiological testing as predictors of life-threatening arrhythmias in the early postinfarction period. Risk stratification approaches and interventions will need to be related to the timing of evaluation in the patient’s disease process. Further efforts to define the appropriate evaluations and treatments relative to this timing are necessary.

There are no data that identify the optimum risk stratification strategy or combination of tests to be performed. The optimal strategy should identify the vast majority of those who will experience sudden arrhythmic death and a minimal number of those who will not. No existing strategies attain this goal. There are a large number of clinical studies that have combined available techniques, with demonstrable improvement in sensitivity and specificity. Randomized ICD-intervention clinical trials have generally combined depressed LVEF with at most 1 other risk stratifier. The inadequacy of these approaches is underscored by the fact that most victims of SCD do not have low LVEF. Thus, much research is required to determine which of the myriad available tests should be performed, whether they should be performed sequentially or simultaneously, and whether a patient’s risk should be assessed at some frequency in the absence of a change in clinical status. It is clear that continued progress in noninvasive risk stratification will benefit by the determination of whether the suboptimal success achieved with each approach can be improved with use of tests in combination and/or refinements in methodology to more completely detect the pathophysiological determinants of VT/VF.

Tremendous efforts have been made in developing and studying risk stratification techniques; however, at present,
there are no data integrating the use of these techniques into a coherent strategy for intervention. Currently, the primary technique for stratifying risk to determine who is an appropriate candidate for an ICD for primary prevention of SCD is the LVEF. It is reasonable to place patients with LVEF ≤30% to 35% in the highest-risk group that can be identified presently. This applies to patients with coronary artery disease and dilated cardiomyopathy. Future studies will assess whether further risk stratification within this population can be achieved. This will require the development of a risk stratification test or strategy with high negative predictive value. In patients with coronary artery disease and LVEF >35%, further testing with other risk stratification techniques may be used, but data on how to apply the results of these tests are lacking. If clinical evaluation is consistent with an increased risk, further electrophysiological testing may be indicated.

The field of risk stratification requires substantial further development. Although the lack of a dominant strategy using these techniques is certainly due in part to the absence of clinical trial data, it is also important to consider that there may be limitations to the current techniques. Most of these techniques focus on the evaluation of electrical, autonomic, or anatomic substrates of the patient at rest, when the risk of SCD is low. Some of the techniques involve evaluations during exercise and the postexercise recovery period, times of relatively increased risk for SCD and ventricular arrhythmias. Clearly, there are other factors that may be implicated in the pathophysiology of SCD. Recent consensus documents have outlined the concepts of vulnerable plaque, vulnerable blood (prone to thrombosis), and vulnerable myocardium. Newer approaches that encompass plaque, vulnerable blood (prone to thrombosis), and vulnerable myocardium have outlined the concepts of vulnerable plaque, vulnerable blood (prone to thrombosis), and vulnerable myocardium.205,206

Summary
Given the availability of therapies to prevent SCD due to otherwise fatal ventricular tachyarrhythmias, it is important to differentiate noninvasive risk stratification techniques that enhance the ability to identify SCD from total mortality. The relative ability for each of the described techniques varies, and the optimal way to combine and use these techniques in clinical practice remains unclear. Low LVEF, which is the most widely used test on which ICD intervention is recommended, does not have a particularly high discriminatory ability to identify SCD rather than non-SCD mortality. Although data exist supporting the concept that noninvasive risk stratification techniques may be useful to identify patients with low LVEF who are at low risk for SCD, this requires further testing. There are also data to support the concept that noninvasive risk stratification techniques may be useful to identify patients who do not have low LVEF who nevertheless are at substantial risk for SCD.

Because most SCD occurs in this latter group, substantial effort is justified in evaluating, testing, and ultimately implementing risk stratification strategies in this group.

Disclosures

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e15 Goldberger et al Risk Stratification for Sudden Death
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*Modest.
†Significant.

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References


101. Goldberger et al Risk Stratification for Sudden Death


